

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION

Insert
name,
address

I hereby declare I am an official empowered to act on behalf of the nonprofit organization identified below:
 Name of Organization: DEPT. OF RADIATION ONCOLOGY, UNIVERSITY OF WASHINGTON
 Address of Organization: 2121 North 35th Street, Mailstop ~~48~~, 358750
SEATTLE, Wa. 98103, USA

Type of Organization:

- Check one ☒ a) University or other institution of higher education
☐ b) Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
☐ c) Nonprofit scientific or educational under statute of state of the United States of America—
 (name of state _____) (citation of statute _____)
☐ d) Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if located in the United States of America
☐ e) Would qualify as nonprofit scientific or educational under statute of state of the United States of America if located in the United States of America—(name of state _____) (citation of statute _____)



I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in regard to the invention, entitled "Trifunctional reagent for conjugation to a biomolecule"

by inventor(s) D. Scott Wilbur and Bengt E.B. Sandberg described in

- a) the specification filed herewith.
 Check one ☒ b) application serial no. PCT/SE99/01241, filed 7 July 1999
☐ c) patent no. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c), if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Insert co-owners, if any, and check a), b) or c)
 NAME MITRA MEDICAL TECHNOLOGY AB

ADDRESS Ideon Research Park, 223 70 LUND, SWEDEN

a) ☐ INDIVIDUAL b) ☒ SMALL BUSINESS CONCERN c) ☐ NONPROFIT ORGANIZATION

NAME _____

ADDRESS _____

a) ☐ INDIVIDUAL b) ☐ SMALL BUSINESS CONCERN c) ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereof, or any patent to which this verified statement is directed.

Insert

NAME D. Scott Wilbur (D. Scott Wilbur)

TITLE Associate Professor

Sign and
date

ADDRESS 2121 N. 35th Street, Seattle WA 98103

SIGNATURE D. Scott Wilbur DATE 20 February 2001



Patent

Attorney's Docket No. _____

Applicant or Patentee: _____

Application or Patent No.: _____

Filed or Issued: _____

For: _____

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. §§ 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN MITRA MEDICAL TECHNOLOGY ABADDRESS OF CONCERN Ideon Research Park, 223 70 LUND, SWEDEN

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. § 121.12, and reproduced in 37 C.F.R. § 1.9(d), for purposes of paying reduced fees under Sections 41(a) and 41(b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average, over the previous fiscal year of the concern, of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled "Trifunctional reagent for conjugation to a biomolecule"

by inventor(s) D.Scott Wilbur and Bengt E.B. Sandberg
described in

- ☐ the specification filed herewith
☒ Application No. PCT/SE99/01241, filed 7 July 1999
☐ Patent No. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern, or organization having rights to the invention is listed below,* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c), or by any concern that would not qualify as either a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 C.F.R. § 1.27.)

Application Serial No. _____
Attorney's Docket No. _____

NAME DEPT. OF RADIATION ONCOLOGY, UNIVERSITY OF WASHINGTON
ADDRESS 2121 North 35th Street, Mailstop 358750, SEATTLE, Wa. 98103, USA
[] individual [] small business concern [X] nonprofit organization

NAME _____
ADDRESS _____
[] individual [] small business concern [] nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earlier of the issue fee and any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b).)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code; and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Dr Bengt E. B. Sandberg
TITLE OF PERSON OTHER THAN OWNER Managing Director, CEO
ADDRESS OF PERSON SIGNING Blomstergården 18, S-223 70 Lund,
Sweden

SIGNATURE Bengt Sandberg DATE 12 February 2001

Declaration and Power of Attorney
United States Patent Application



UNITED STATES (Form BDWY-1)
Patents and Design Patents
Sole & Joint Inventors
Convention & Non-convention
PCT & Non-PCT
This form cannot be amended, altered
or changed after it is signed.
(For use only for inventors who
understand the English language.)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

"Trifunctional reagent for conjugation to a biomolecule"

- (check one) ☐ is attached hereto.
☒ was filed as U.S. Application No. 29 December 2000 on _____ and (if applicable) was amended on _____.
☐ was filed as PCT International Application No. _____ on _____ and (if applicable) was amended under PCT Article 19 on _____.
(I authorize any attorney appointed below to insert information in the preceding blanks.)

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.
I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign and PCT application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America listed in this Declaration. I have also identified below any foreign application for patent or inventor's certificate or PCT international application having a filing date before that of the application(s) on which priority is claimed:

Foreign/PCT Application No.	Country	Filing Date	Priority Claimed? (yes/no)
PCT/SE98/01345	Sweden	7 July 1998	Yes

I hereby claim the benefit under Title 35, United States Code, §120 or §365(c) of any United States application and PCT international application designating the United States of America listed in this Declaration and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. Application No.	Filing Date	Status (patented/pending/abandoned?)
PCT/SE99/01241	7 July 1999	

I hereby claim priority benefits under Title 35 United States Code §119(e) of any U.S. provisional application(s) listed below:

U.S. Provisional Application No.	Filing Date

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Joseph A. DeGrandi (17446), Robert G. Weilacher (20531), Richard G. Young (20628), Michael A. Makuch (32263), Dennis C. Rodgers (32936), Thomas L. Evans (35805), Frank C. Cimino, Jr. (39945), Carolyn Favorito (39183), George A. Metzenthin (P41995), and Steven W. Collier (P42429).

Send all correspondence to Beveridge, DeGrandi, Weilacher & Young, L.L.P., Suite 800, 1850 M Street, N.W., Washington, D.C. 20036. Facsimiles may be sent to (202) 659-1462. Direct all telephone calls to (202) 659-2811.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: **D. Scott Wilbur** Citizenship: **USA**
Residence (city, state, country): **EDMONDS, Wa. 98026, USA**
Post office address: **6015 137th Place S.W., EDMONDS, Wa. 98026, USA**

Signature: *D. Scott Wilbur* Date: 20 February 2001

Full name of second joint inventor, if any: **Bengt E.B. Sandberg** Citizenship: **Sweden**
Residence (city, state, country): **245 62 HJÄRUP, SWEDEN**
Post office address: **Blomstergården 18, 245 62 HJÄRUP, SWEDEN**

Signature: Signed on separate Declaration Date: _____

☐ Additional inventors and/or prior applications are listed in attached Supplemental Sheet(s).

BDWY 398

Declaration and Power of Attorney United States Patent Application

UNITED STATES (Form BDWY-1)
Patents and Design Patents
Sole & Joint Inventors
Convention & Non-convention
PCT & Non-PCT
This form cannot be amended, altered
or changed after it is signed.
(For use only for inventors who
understand the English language.)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

"Trifunctional reagent for conjugation to a biomolecule"

(check one) ☐ is attached hereto.
☒ was filed as U.S. Application No. 29 December 2000 on _____ and (if applicable) was amended on _____.
☐ was filed as PCT International Application No. _____ on _____ and (if applicable) was amended under PCT Article 19 on _____.
(I authorize any attorney appointed below to insert information in the preceding blanks.)

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.
I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign and PCT application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America listed in this Declaration. I have also identified below any foreign application for patent or inventor's certificate or PCT international application having a filing date before that of the application(s) on which priority is claimed:

Foreign/PCT Application No.	Country	Filing Date	Priority Claimed? (yes/no)
PCT/SE98/01345	Sweden	7 July 1998	Yes

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U.S. Application No.	Filing Date	Status (patented/pending/abandoned?)
PCT/SE99/01241	7 July 1999	

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U.S. Provisional Application No.	Filing Date

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Full name of sole or first inventor: **D. Scott Wilbur** Citizenship: **USA**
Residence (city, state, country): **EDMONDS, Wa. 98026, USA**
Post office address: **6015 137th Place S.W., EDMONDS, Wa. 98026, USA**

Signature: Signed on separate Declaration Date: _____

Full name of second joint inventor, if any: **Bengt E.B. Sandberg** Citizenship: **Sweden**
Residence (city, state, country): **245 62 HJÄRUP, SWEDEN**
Post office address: **Blomstergården 18, 245 62 HJÄRUP, SWEDEN**

Signature:  Date: 12 February 2001

☐ Additional inventors and/or prior applications are listed in attached Supplemental Sheet(s).

BDWY 398